



FACT SHEET

Solid Waste's Top 8 Compliance Issues and How to Fix Them

- 1. Missing permit.** Any person proposing to construct, alter, or operate a solid waste facility must [obtain a permit](#). Regulated activities include, without limitation:
 - consolidating or transferring solid waste
 - consolidating or transferring waste suitable for recycling
 - incinerating waste for volume reduction and resources recovery purposes
 - processing waste for volume reduction purposes (greater than one ton per hour)
 - waste composting activities
 - storage/landfilling of solid waste including residue
 - biomedical waste processing, consolidation or transfer
 - consolidating or transferring household hazardous waste
 - intermediate processing of solid wastes
- 2. Missing financial assurance.** [Financial assurance](#) is required for owners, operators, or permittees of certain hazardous waste, solid waste, and other facilities to ensure that they will have sufficient funds to pay for cleanup, closure, or post-closure care.
- 3. Incorrectly adjusted financial assurance.** Use DEEP's [financial assurance adjustment guidance](#) if you are making an annual adjustment of your cost estimate to account for inflation and you choose to do so by using an inflation factor. Annual inflationary adjustments should be determined using the most recent Implicit Price Deflator for Gross National Product as published by the [U.S. Department of Commerce](#). The Department publishes a [Annual Inflation Guidance document](#).
- 4. Lack of qualified consultant.** [Solid Waste](#) Permits include the requirement that permittees retain a qualified consultant to conduct compliance audits at prescribed frequencies. Such consultant must be approved by the Commissioner.
- 5. Waste outside designated area.** Registrants/permittees are required to ensure that [waste is managed in areas prepared and dedicated](#) for the Receipt, Storage, and Processing of such solid waste types and as designated on the Site Plan in the approved permit.
- 6. Certified operator not present.** Sections [22a-209-6](#) and [22a-231-1](#) of the Connecticut Solid Waste Management Regulations requires the [certification and training for operators](#) of all solid waste facilities that are permitted or authorized by DEEP. Operators of Connecticut landfills, transfer stations, volume reduction facilities, resources recovery facilities, recycling facilities (MRFs/IPC) and general permitted facilities must take a virtual training classes and take an examination to obtain DEEP certification. A [certification application form](#) must be completed and an operator must pre-register for the next available virtual class.
- 7. Missing quarterly compliance audits.** Compliance Audits by a qualified compliance auditors which have been approved by the Commissioner must be submitted on a quarterly basis to the Department. Compliance Audits require that Permittee's compliance with the requirements of this Permit and all applicable provisions of [Sections 22a-209-1 through 22a-209-17](#) of the Regulations of Connecticut State Agencies as well as the requirements outlined in the Permit.

- 
8. **Correction of violations not reported.** DEEP provides a Toll-Free Compliance Assistance Line - (888) 424-4193, [Compliance Assistance Information](#) and guidance waste regulations applicable to the facility and its operations through COMPASS, a program that was created to assist Connecticut businesses and industries in complying with hazardous waste management requirements by developing and implementing outreach education programs which provide information and offer site specific assistance.
-

This fact sheet is intended for informational purposes only based on the information available as of the date of its publication and does not represent a formal jurisdictional determination by which DEEP or any other permitting authority referenced will be bound. Information contained in this fact sheet does not represent a comprehensive list of all permit requirements potentially applicable, which in most cases can only be determined on a site-specific basis. It is intended only to provide information on permits that may be required. Refer to the most current statutes, regulations, and public acts for specific language pertaining to each permit. It is your responsibility to comply with all applicable laws. Contact DEEP (DEEP.CONCIERGE@ct.gov) with questions regarding a specific site or project.

Rev. March 2025